

GAU/2837  
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(Use for all correspondence after initial filing)

|  |   |
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| Application Number                       | 10/053,096                              |
| Filing Date                              | 01/18/2002                              |
| First Named Inventor                     | Melchiore Tripoli III                   |
| Group Art Unit                           | 2837                                    |
| Examiner Name                            |   |
| Total Number of Pages in This Submission | 1                                       |
| Attorney Docket Number                   | STB-3.2.005/4271 Technology Center 2600 |

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## ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | <b>Acknowledgment Postcard</b>   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |
| Remarks  |   |  |

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | COBRIN & GITTE<br>Richard M. Lehrer, Reg. No. 38,536 |
| Signature               |  |
| Date                    | 03/06/2002   |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

March 6, 2002

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| Typed or printed name | Kevin Kinlaw |
| Signature             |              |
| Date                  | 03/06/2002   |

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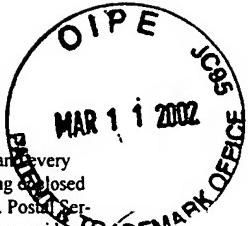
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Kevin Kinlaw  
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Atty.Doc.No.: STB-3.2.005/4271

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Melchiore Tripoli III

Examiner:

Serial No.: 10/053,096

Group Art Unit: 2837

Filed: January 18, 2002

Title: Stepped Sound Producing Module

Box No Fee

Asst. Commissioner for Patents

P.O. Box 2327

Arlington, VA 22202

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with the duty to disclose, Applicant submits herewith Form PTO-1449 together with copies of the cited references.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56. The submission of references with this Information Disclosure Statement should not be construed by the Examiner that a comprehensive search through the prior art was conducted; it is requested that the Examiner make such a comprehensive search.

The applicant requests that the references cited on the accompanying Form PTO-1449 be made of record because, as checked off below, the following applies:

either (a) this submission precedes issuance of a first Office Action on the merits or (b) a Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission and neither a final Office Action nor a Notice of Allowance has issued. No fee is due.

neither a final Office Action nor a Notice of Allowance has issued and no Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission. A late submission fee under 37 CFR 1.97(c) is due.

either a final Office Action or a Notice of Allowance has issued. Petition is made for consideration of the accompanying references. A petition fee is due together with a Certification of Timely Filing Under 37 CFR 1.97(e).

If a fee is due as mentioned previously and has not been submitted in connection with an earlier filed Information Disclosure Statement, the fee is enclosed. Please charge any deficiency in fees to deposit account no. 03-2317.

If submission of the accompanying references is deemed untimely to be made of record for this application, however, it is requested that they be placed in the file and that the applicant be so informed.

Respectfully submitted,

Date: March 6, 2002



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| Substitute for form 1449A/PTO   |                        |   |                                      | Complete if Known                               |  |   |                |
|---|------------------------|---|--------------------------------------|---|--|---|----------------|
| <b>INFORMATION DISCLOSURE</b><br><b>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |                        |   |                                      | Application Number                              | 10/053,096                                       |   |                |
| Filing Date   | January 18, 2002       |   |                                      |   |  |   |                |
| First Named Inventor  | Melchiorre Tripoli     |   |                                      |   |  |   |                |
| Group Art Unit  | 2837                   |   |                                      |   |  |   |                |
| Examiner Name   |                        |   |                                      |   |  |   |                |
| Sheet 1 of 1  | Attorney Docket Number |   | STB-3.2.005/4271                     |   |  |   |                |
| <b>U.S. PATENT DOCUMENTS</b>  |                        |   |                                      |   |  |   |                |
| Examiner Initials <sup>1</sup>  | Cite No. <sup>1</sup>  | U.S. Patent Document  |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |                |
|   |                        | Number  | Kind Code <sup>2</sup><br>(if known) |   |  |   |                |
|   |                        | 5,108,338   |                                      | Margolis  | April 28, 1992                                   |   |                |
|   |                        | 4,823,907   |                                      | Hoshi   | April 25, 1989                                   |   |                |
|   |                        | 5,254,007   |                                      | Eagan   | October, 19, 1993                                |   |                |
|   |                        |   |                                      |   |  | <b>RECEIVED</b>   |                |
|   |                        |   |                                      |   |  | MAY 01 2002   |                |
|   |                        |   |                                      |   |  | Technology Center 2600  |                |
| <b>FOREIGN PATENT DOCUMENTS</b>   |                        |   |                                      |   |  |   |                |
| Examiner Initials   | Cite No. <sup>1</sup>  | Foreign Patent Document   |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|   |                        | Office <sup>3</sup>   | Number <sup>4</sup>                  |   |  |   |                |
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| <b>OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS</b>  |                        |   |                                      |   |  |   |                |
| Examiner Initials   | Cite No.               | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published |                                      |   |  | T   |                |
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.